

# Fluorescence of Water-Soluble Fullerenes in Biological Systems

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## ABSTRACT

Fullerene C<sub>70</sub> has been solubilized in aqueous solutions using phenol gallic acid. The supermolecule of C<sub>70</sub>-gallic acid is hypothesized to be assembled via pi-stacking as well as by caging of gallic acid around single or multiple C<sub>70</sub>. When excited with light, C<sub>70</sub>-gallic acid exhibited two prominent fluorescence peaks at 540 nm and 719 nm. Using confocal fluorescence microscopy we have observed the translocation of C<sub>70</sub>-gallic acid and their consequent green fluorescence in CHO cells, oyster tissue, and living organism *Ceriodaphnia*. The resistance of C<sub>70</sub>-gallic acid to photobleaching combined with the antioxidation property of gallic acid can potentially be utilized for novel biological imaging, sensing, and drug delivery.

**Keywords:** fullerenes, gallic acid, solubility, fluorescence

## 1 INTRODUCTION

Cage-like fullerene molecules represent a class of carbon-based nanomaterials with unique structural, mechanical, and electronic properties. When interacting with biological systems, fullerenes have found use in such applications as neuroprotective agents [1], HIV-1 protease inhibitors [2], antioxidants [3], X-ray contrast enhancers [4], and drug delivery transporters [5]. Due to their mutual van der Waals interaction, fullerenes readily accumulate, and their aggregates can facilitate electron transfer across cell membranes [6,7], a phenomenon which can impact photosynthesis and biomedicine.

The absorption spectra of C<sub>60</sub> and C<sub>70</sub>, two of the most abundant forms of fullerenes, are rather similar in the ultraviolet region. In the visible range, C<sub>70</sub> displays markedly stronger absorption than C<sub>60</sub> due to its elongated form and reduced symmetry which relax the forbidden electronic transitions [8,9]. As a result, in crystal phase or dissolved in organic solvents such as toluene or benzene, C<sub>70</sub>, and to a lesser extent C<sub>60</sub>, can be photoexcited to induce multiple fluorescence bands from visible to near infrared [8-12]. However the fluorescence of fullerenes in organic solvents is noticeably weak, possibly resulting from rapid intersystem crossing and small fluorescence radiative rate constants [8,9].

Because of their inert surface structures, fullerenes are only weakly soluble in water. As a result, while the photophysical properties of fullerenes in aqueous solutions have not been extensively studied, they could have great potential for biosensing and imaging. Consequently this paper is to demonstrate the solubilization of fullerenes in aqueous solutions with gallic acid and perform cellular to organism-level imaging using the unique fluorescence properties of supramolecular complex C<sub>70</sub>-gallic acid.

## 2 RESULTS AND DISCUSSION

### 2.1 Absorption of C<sub>70</sub>-Gallic Acid

We have solubilized C<sub>70</sub> in water using phenol antioxidant gallic acid (see Figure 1a inset) [13,14]. The absorption spectrum of gallic acid was measured using a spectrophotometer (Figure 1a). The UV absorbance of C<sub>70</sub>-gallic acid vs. concentration was read at 384 nm where gallic acid had a minimal contribution. A plateau of absorbance was found at approximately 1 mg/mL, indicating the saturation of C<sub>70</sub> solubility (Figure 1 b). This value is consistent with our observation of dark precipitates for samples of 1 mg/mL or higher.

The complex of C<sub>70</sub>-gallic acid is hypothesized to be assembled via pi-stacking and its solubility afforded by the three hydroxyls and one carboxyl of each gallic acid molecule. In addition, gallic acid molecules may also cage around C<sub>70</sub> molecule(s) via hydrogen bonds to elicit an improved solubility, analogous to the way colloidal clusters are formed by water surrounding fullerenes [15]. According to our transmission electron microscopy (TEM) study each C<sub>70</sub>-gallic acid complex usually encased multiple C<sub>70</sub> molecules due to their mutual *van der Waals* bonding. In water solutions hydrophobic and electrostatic interactions also occurred to reinforce the interactions between C<sub>70</sub> molecules. These interactions sometimes formed "lancelike" clusters observed in our TEM study. The sizes of these clusters may range from tens of nanometers up to micrometers, similar to that observed for pyridine solubilized C<sub>60</sub> [16]. With consecutive filtrations to remove free gallic acid and larger C<sub>70</sub> or C<sub>70</sub>-gallic acid aggregates, the sizes of C<sub>70</sub>-gallic acid complexes ranged mostly between 10~20 nm (Figure 1c). Our separate NMR study

found no spectral signatures for  $C_{70}$ -gallic acid possibly due to the non-covalent (and therefore random) nature of the binding. The solution of  $C_{70}$ -gallic acid exhibited light green color in water and was stable for weeks at room temperature.

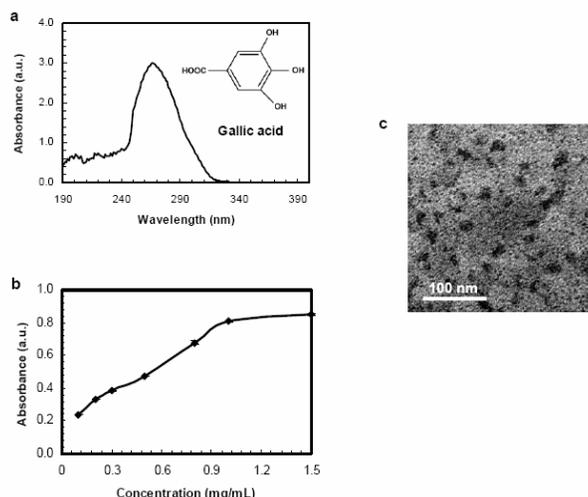


Figure 1: a) Absorption spectrum of gallic acid (structure in inset). b) UV absorbance of  $C_{70}$ -gallic acid vs. concentration. c) TEM image of  $C_{70}$ -gallic acid complexes.

## 2.2 Fluorescence of $C_{70}$ -Gallic Acid

The fluorescence spectra of  $C_{70}$ -gallic acid were recorded using a spectrofluorometer (Figures 2a and b), and the weight ratio of  $C_{70}$  to gallic acid was varied from 1:5 to 1:20, corresponding to a molar ratio of approximate 1/25 to 1/100. As a control, gallic acid of equal amount to the sample yielded only weak fluorescence when excited at 488 nm (grey curve in Figure 2a). In contrast two distinct fluorescence peaks were observed at ~540 nm and 719 nm when the sample (1:5, blue curve) was excited at 488 nm and 690 nm, respectively. This confirms that the fluorescence signals observed at 540 nm and 719 nm were emitted by  $C_{70}$  rather than gallic acid. As shown in Figure 2a, centrifugation at 7,500 rpm for 3 min markedly reduced the narrow peak at 719 nm but did not significantly alter the broad fluorescence peak at 540 nm (dark red curve in Figure 2a). This suggests the fluorescence peak at 719 nm was mostly emitted by poorly solubilized  $C_{70}$  molecules. This result is similar to that observed for  $C_{60}$ -pyridine solutions, where fluorescence peaks at 440 nm, 575 nm, and 700 nm were assigned to  $C_{60}$  nanoparticles,  $C_{60}$  lace-like clusters, and  $C_{60}$  microbulks, respectively [16]. Consistently, the cyan curve (1:20) in Figure 2b exhibits a relatively smaller peak at 719 nm as compared to the orange curve (1:10), possibly due to the higher concentration of gallic acid and therefore improved  $C_{70}$  solubility.

Using the same approach we have also solubilized  $C_{60}$  with gallic acid in aqueous solutions. However, the

fluorescence bands of  $C_{60}$ -gallic acid assembly were weaker, much broader, and much less distinctive than their  $C_{70}$  counterpart, possibly because the fluorescence emission of  $C_{60}$  extends further into the near infrared region [8,9]. These fluorescence characteristics of  $C_{60}$ -gallic acid were also more sensitive to particle size and solubilization procedures, which deemed  $C_{60}$  less suitable for imaging. Our study in this paper, therefore, focuses on  $C_{70}$ -gallic acid only.

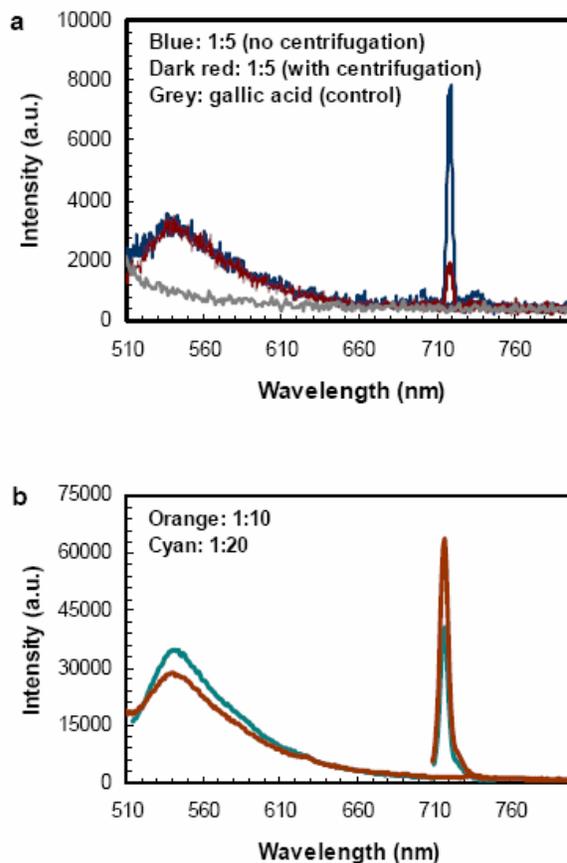


Figure 2: Fluorescence intensity vs. wavelength for  $C_{70}$ -gallic acid of different ratios. a) The ratio of  $C_{70}$ -gallic acid was set at 1:5 and the concentration of  $C_{70}$  was 0.1 mg/mL prior to centrifugation (blue). The fluorescence of gallic acid of equal amount to the sample is shown as control (grey). b) The concentrations of  $C_{70}$  were 1 mg/mL for both  $C_{70}$ -gallic acid of 1:10 (orange) and 1:20 (cyan). No centrifugation was applied to either case.

## 2.3 Biological Imaging

One major issue with biological imaging is the photobleaching of fluorophores (e.g. Cy3, TAMRA, and calcein AM), which becomes particularly significant when examining a sample of large dimensions for an extended period of time. For such applications confocal fluorescence microscopy is often employed to utilize its axial sectioning

property, which enables three-dimensional image reconstruction at a later stage. Yet this appealing feature of confocal microscopy is often compromised in practice by the scanning rate, which must be increased to compensate for the rapid photobleaching of sample under irradiation. These fast scanning rates subsequently result in a reduction of both signal strength and image contrast. In the following we show the potential use of  $C_{70}$ -gallic acid for long-term and large-scale in vivo imaging.

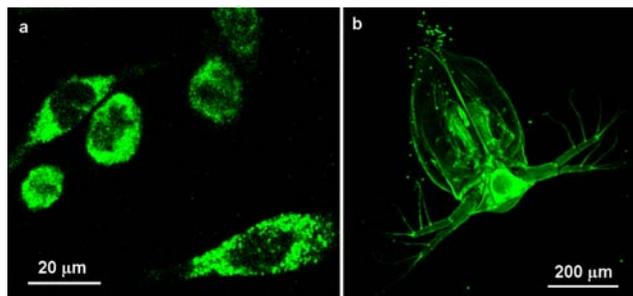


Figure 3: Confocal fluorescence images of  $C_{70}$ -gallic acid labeled a) CHO cells and b) living *Ceriodaphnia dubia*, excited by an Ar<sup>+</sup> laser at 488 nm. The CHO cells were incubated with  $C_{70}$ -gallic acid for 4 hr and the fluorescence was localized mainly outside the cell nuclei. The *Ceriodaphnid* was fed with  $C_{70}$ -gallic acid for 30 min. The internal organs of the *Ceriodaphnid* were clearly labeled.

Using a confocal fluorescence microscope (LSM 510, Zeiss) we imaged living Chinese Hamster Ovary (CHO) cells and organism *Ceriodaphnia dubia*, incubated with  $C_{70}$ -gallic acid (Figure 3). Both the interiors and exteriors of the cells were strongly fluorescent excited by an Ar<sup>+</sup> laser at 488 nm, suggesting the efficient translocation of  $C_{70}$ -gallic acid across cell membranes. However, the green fluorescence was largely excluded by the cell nuclei in Figure 3a possibly due to the relatively large sizes of  $C_{70}$ -gallic acid and their dissociation dynamics in the cellular environment. The mechanism of  $C_{70}$ -gallic acid uptake will be examined in future studies using fluorescence microscopy and Raman spectroscopy in combination with molecular dynamics simulations. In Figure 3b, the swimming appendages can be seen extended from the cephalothorax surrounding the crustacean's body and the internal organs of the *Ceriodaphnid* were clearly labeled with  $C_{70}$ -gallic acid. The ingestion of  $C_{70}$ -gallic acid by *Ceriodaphnia* and their consequent permeation into tissues is possible. However, since labeling of *Ceriodaphnia* by  $C_{70}$ -gallic acid can be done within minutes, we hypothesize that this internalization route contributed less than the permeation of the nanoparticles through membranes.

Confocal fluorescence imaging has been shown as a powerful tool for the field study of biomineralization [17]. For example the formation of oyster shell depends upon a supply of calcite crystals which are deposited at the mineralization front by the mollusk's immune system cells. Subsequent crystalline growth is mediated by the mantle

epithelium resulting in highly organized prismatic and nacreous shell layers [17]. In order to understand the interaction between mineralizing immune systems cells and the mantle it is necessary to perform live imaging of intact oyster tissues. The use of  $C_{70}$ -gallic acid as a bio-imaging reagent enables instantaneous labeling of these tissues for both in vivo and in vitro applications. In comparison with calcein AM, a routine stain for biological imaging, the labeling of  $C_{70}$ -gallic acid was less selective (Figure 4a vs. 4c) and far more resistant to irradiation (Figure 4b vs. 4d). The resistance of  $C_{70}$ -gallic acid to irradiation allows these nanoparticles to be far more effective than calcein AM for use in examining large tissues and organisms. In addition, calcein AM requires an incubation time of ~20 min for its AM moiety to be cleaved off by intracellular esterases and therefore can only be used for imaging viable samples. In contrast,  $C_{70}$ -gallic acid can almost instantaneously label both living and fixed cells or tissues (data not shown) with almost the same efficiency.

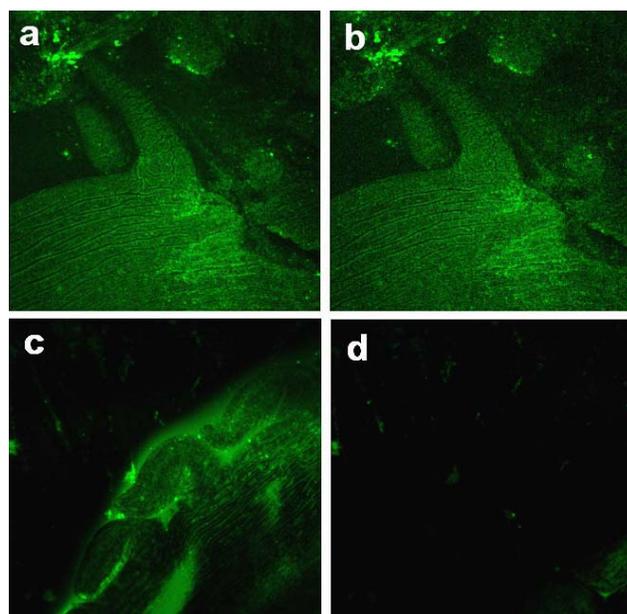


Figure 4:  $C_{70}$ -gallic acid labeled living mantle tissue a) before and b) after 4 min of Ar<sup>+</sup> laser irradiation. In contrast, c) and d) are calcein AM labeled living mantle tissue c) before and d) after 4 min of laser irradiation.

### 3 CONCLUSIONS

We have solubilized  $C_{70}$  in aqueous solutions using phenol gallic acid, and we hypothesize that the supramolecular complexes of  $C_{70}$ -gallic acid are formed via pi-stacking as well as through the caging of gallic acid molecules around  $C_{70}$ . The solubility of  $C_{70}$  afforded by gallic acid is approximately 1 mg/mL based on our sample preparation procedures. We have also determined that the  $C_{70}$ -gallic acid complexes emit multiple fluorescence bands in both

visible and in near infrared. Consequently, we have assigned the 540 nm band to well solubilized C<sub>70</sub>. Though the mechanism of the robust fluorescence of C<sub>70</sub>-gallic acid in water is unclear, it is speculated to be related to the degenerated electronic states, reduced symmetry, and the rapid intersystem crossing of C<sub>70</sub>. Utilizing the fluorescence of C<sub>70</sub>-gallic acid, we have performed imaging from the cellular to the living organism level. We have shown that the fluorescence of C<sub>70</sub>-gallic acid is far more resistant to photobleaching and far less selective to the state of biological samples than calcein AM. The uptake mechanism of C<sub>70</sub>-gallic acid will be examined in our future studies to gain a better understanding of nanomaterial-biological system interaction. However, the free radical generation of pristine fullerenes is of some concern. [18] In principle, this generation can be counterbalanced by gallic acid which is a powerful antioxidant. Since gallic acid is also an anticancer agent and can be further functionalized to incorporate disease specific antibodies, C<sub>70</sub>-gallic acid has potential uses in a broad array of applications in biosensing, in vivo imaging, therapeutics, and in drug delivery.

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